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Subject: **Paper:** Power of Attorney and Statement under 3.73(b)/Customer No
Docket No.: 2685.2016-000 (US)
Applicants: Donghao Chen, *et al.*
Patent No.: 6,848,197
Issue Date: February 1, 2005

Number of pages including this cover sheet 7

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Docket No. 2685.2016-000 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Patentees: Donghao Chen, Richard P. Batycky, Lloyd Johnston and
Jeffrey Mintzes

Patent No: 6,848,197

Issue Date: February 1, 2005

For: CONTROL OF PROCESS HUMIDITY TO PRODUCE LARGE, POROUS PARTICLES

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
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Typed or printed name of person signing certificate	

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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Penn State Research Foundation, a Corporation duly organized under the laws of the State of Pennsylvania, is the co-assignee, together with Advanced Inhalation Research, Inc., of the entire right, title and interest in the above-identified application. The Penn State Research Foundation hereby appoints the attorneys and/or agents associated with:

Customer No. 000038421
Elmore, Craig & Vanstone, P.C.
209 Main Street
N. Chelmsford, Massachusetts 01863

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office.

A Statement under 37 C.F.R. §3.73(b) is submitted herewith.

Patent No: 6,848,197

-2-

All correspondence should be sent to **Customer No. 000038421**, Elmore, Craig & Vanstone, P.C., 209 Main Street, Chelmsford, Massachusetts 01863. Please direct all telephone calls to Carolyn S. Elmore at (978) 251-3509, and all facsimile communications to (978) 251-3973.

Respectfully submitted,

THE PENN STATE RESEARCH FOUNDATION

By Ronald J. Huss
Ronald J. Huss

Title Director Intellectual Property OfficeDate May 6, 2005

Docket No. 2685.2016-000STATEMENT UNDER 37 C.F.R. § 3.73(b)Applicant: Donghao Chen, Richard P. Batycky, Lloyd Johnston, and Jeffrey MintzesApplication No.: 09/837,620 Filed: April 18, 2001For: CONTROL OF PROCESS HUMIDITY TO PRODUCE LARGE, POROUS PARTICLESThe Penn State Research Foundation

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☐ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☒ an assignee together with Advanced Inhalation Research, Inc., of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012085, Frames 0857-0859, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

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2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: June 25, 2003Name: Ronald J. HussTitle: Director, Intellectual Property OfficeSignature: Ronald J. Huss

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Docket No. 2685.2016-000 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Patentees: Donghao Chen, Richard P. Batycky, Lloyd Johnston and
Jeffrey Mintzes

Patent No: 6,848,197

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Alexandria, VA 22313-1450

Sir:

Advanced Inhalation Research, Inc., a Corporation duly organized under the laws of the State of Delaware, is the co-assignee, together with The Penn State Research Foundation, of the entire right, title and interest in the above-identified application. Advanced Inhalation Research, Inc. hereby appoints the attorneys and/or agents associated with:

Customer No. 000038421
Elmore, Craig & Vanstone, P.C.
209 Main Street
N. Chelmsford, Massachusetts 01863

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office.

A Statement under 37 C.F.R. §3.73(b) is submitted herewith.

Patent No: 6,848,197

-2-

All correspondence should be sent to **Customer No. 000038421**, Elmore, Craig & Vanstone, P.C., 209 Main Street, Chelmsford, Massachusetts 01863. Please direct all telephone calls to Carolyn S. Elmore at (978) 251-3509, and all facsimile communications to (978) 251-3973.

Respectfully submitted,

ADVANCED INHALATION RESEARCH, INC.

By Claudia J. Davis

Title VP, Intellectual Property

Date 2 May 2005

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P. 06
P. 08/10

Docket No. 2685-2016-000

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicant: Douglas Chen, Richard P. Butsky, Lloyd Johnson, and Jeffrey Minzies
Application No.: 09/837,620 Filed: April 18, 2001
For: CONTROL OF PROCESS HUMIDITY TO PRODUCE LARGE POROUS PARTICLES
Advanced Inhalation Research, Inc. a corporation
(Name of Assignor) (Type of Assignor: corp., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☐ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☒ an assignee together with The Penn State Research Foundation, of the entire right, title and interest in the patent application identified above.

The right title and interest of the above-named assignors in the patent application identified above is established by virtue of:

A [X] An assignment from the

- A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012085, Frame 0894-0897, or a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

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- [] Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: 2 - June 2003
Name: JAMES FRATES
Title: Vice President
Signature: James Frates

[illegible]